



Docket No.: 42390P11552

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

RAMESH PENDAKUR

Application No.: 10/043,918

Filed: January 11, 2002

For: **Content Based Caching And Routing of
Content Using Subscription information
From Downstream Nodes**

Art Group: 2143

Examiner: Pwu, Jeffrey C.

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted concurrently with the Request for Continued Examination. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: February 20, 2007


Aslam A. Jaffery, Reg No. 51,841

12400 Wilshire Boulevard, 7th Floor
Los Angeles, CA 90025
Telephone: (303) 740-1980

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Krista Mathieson 02-20-07
Krista Mathieson Date

FEB 23 2007

PTO/SB/08a(07-05)
 Approved for use through 7/31/2008. OMB 0651-0031
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/P

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

| Complete if Known | |
|----------------------|------------------|
| Application Number | 10/043,918 |
| Filing Date | January 11, 2002 |
| First Named Inventor | Pendakur, Ramesh |
| Art Unit | 2143 |
| Examiner Name | Pwu, Jeffrey |

Sheet

1

of

14

Attorney Docket No: 42P11552

US PATENT DOCUMENTS

| Examiner Initial * | Cite No | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Filing Date If Appropriate |
|--------------------|---------|---------------------|------------------|-------------------------------------------------|----------------------------|
| | | US-09/532034 | | Connelly, Jay H. | 03/21/2000 |
| | | US-09/533024 | | Connelly, Jay H. | 03/22/2000 |
| | | US-09/717579 | | Jutzi, Curtis E. | 11/21/2000 |
| | | US-2001/0012299 | 08/09/2001 | DAHLEN, ROBERT L. | 12/13/1998 |
| | | US-20010013127 | 08/09/2001 | Tomita, Yamumasa et al. | 04/11/1997 |
| | | US-20010024239 | 09/27/2001 | Feder, Meir | 01/25/2001 |
| | | US-20010037507 | 11/01/2001 | Mori, Toshiya | 04/13/2001 |
| | | US-20010047516 | 09/12/2000 | Swain, Michael J., et al. | 01/31/2000 |
| | | US-2002/0124252 | 09/05/2002 | Schaefer, Scott R., et al. | 03/21/2001 |
| | | US-2002/0157103 | 10/24/2002 | Song, Deyang et al. | 01/05/2001 |
| | | US-20020047902 | 04/25/2002 | Thomas, C. G., et al. | 04/13/2001 |
| | | US-20020056118 | 05/09/2002 | Hunter, Charles E., et al. | 12/15/2000 |
| | | US-20020073245 | 06/13/2002 | Halford, Jason | 12/12/2000 |
| | | US-20020100046 | 07/25/2002 | Dudkiewicz, Gil G. | 02/26/2001 |
| | | US-20020104087 | 08/01/2002 | Schaffer, J. David et al. | 12/05/2000 |
| | | US-20020104095 | 08/01/2002 | Nguyen, Loc et al. | 06/27/2001 |
| | | US-20020112235 | 08/15/2002 | Ballou, Bernard et al. | 02/12/2001 |
| | | US-20020138733 | 09/26/2002 | Ishibashi, Ishibashi, Yoshihito | 10/12/2001 |
| | | US-20020143591 | 10/03/2002 | Connelly, Jay H. | 03/30/2001 |

EXAMINER**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

| | | | | |
|------------------------------------------------------|---|----|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Substitute for form 1449A/PTO | | | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | <i>Complete if Known</i> |
| | | | | Application Number 10/043,918 Filing Date January 11, 2002 First Named Inventor Pendakur, Ramesh Art Unit 2143 Examiner Name Pwu, Jeffrey |
| (Use as many sheets as necessary) | | | | |
| Sheet | 2 | of | 14 | Attorney Docket No: 42P11552 |

| US PATENT DOCUMENTS | | | | | |
|---------------------|---------|---------------------|------------------|-------------------------------------------------|----------------------------|
| Examiner Initial * | Cite No | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Filing Date If Appropriate |
| | | US-20020143607 | 10/03/2002 | Connelly, Jay H. | 03/29/2001 |
| | | US-20020144265 | 10/03/2002 | Connelly, Jay H. | 03/29/2001 |
| | | US-20020144269 | 10/03/2002 | Connelly, Jay | 03/30/2001 |
| | | US-20020145052 | 10/10/2002 | Cessac, Kevin | 04/10/2001 |
| | | US-20020152463 | 10/17/2002 | Dudkiewicz, Gil G. | 02/26/2001 |
| | | US-20020152474 | 10/17/2002 | Dudkiewicz, Gil G. | 02/26/2001 |
| | | US-20020162120 | 10/31/2002 | Mitchell, Slade | 04/25/2001 |
| | | US-20020162121 | 10/31/2002 | Mitchell, Slade | 12/28/2001 |
| | | US-20020166269 | 10/03/2002 | Connelly, Jay H. | 03/30/2001 |
| | | US-20020167947 | 11/14/2002 | Hallford, Jason et al. | 05/11/2001 |
| | | US-20020174430 | 11/21/2002 | Ellis, Michael et al. | 02/21/2002 |
| | | US-20020193066 | 12/19/2002 | Connelly, Jay H. | 06/15/2001 |
| | | US-20020194585 | 12/19/2002 | Connelly, Jay H. | 06/15/2001 |
| | | US-20020194603 | 12/19/2002 | Connelly, Jay H. | 06/15/2001 |
| | | US-20020199194 | 12/26/2002 | Ali, Kamal | 06/14/2002 |
| | | US-2003/0097657 | 05/22/2003 | Zhou, Yiming et al. | 09/14/2001 |
| | | US-20030005311 | 01/02/2003 | Kajimura, Toshiyuki et al. | 01/02/2003 |
| | | US-20030005438 | 01/02/2003 | Crinon, Regis J., et al. | 06/29/2001 |

EXAMINER

DATE CONSIDERED

| | | | |
|------------------------------------------------------|---|----|----|
| Substitute for form 1449A/PTO | | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | |
| (Use as many sheets as necessary) | | | |
| Sheet | 3 | of | 14 |
| Attorney Docket No: 42P11552 | | | |

US PATENT DOCUMENTS

| Examiner Initial * | Cite No | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Filing Date If Appropriate |
|--------------------|---------|---------------------|------------------|-------------------------------------------------|----------------------------|
| | | US-20030005441 | 01/02/2003 | Inove, Tatsu | 06/18/2002 |
| | | US-20030005451 | 01/02/2003 | Connelly, Jay H. | 06/15/2001 |
| | | US-20030005465 | 01/02/2003 | Connelly, Jay H. | 06/15/2001 |
| | | US-20030016673 | 01/23/2003 | Pendakur, Ramesh et al. | 06/29/2001 |
| | | US-20030046633 | 03/06/2003 | Jutzi, Curtis E. | 08/28/2001 |
| | | US-20030046683 | 03/06/2003 | Jutzi, Curtis E. | 08/28/2001 |
| | | US-20030056216 | 03/20/2003 | WUGOFSKI, THEODORE D., et al. | 01/05/1998 |
| | | US-20030058933 | 03/27/2003 | Jutzi, Curtis E. | 09/24/2001 |
| | | US-20030061611 | 03/27/2003 | Pendakur, Ramesh | 09/26/2001 |
| | | US-20030065520 | 04/03/2003 | Jutzi, Curtis E. | 09/28/2001 |
| | | US-20030066090 | 04/03/2003 | Traw, Brendan et al. | 09/28/2001 |
| | | US-20030074664 | 04/17/2003 | Kwoh, Daniel S. | 11/18/2002 |
| | | US-20030093792 | 05/15/2003 | Labeeb, Ismail et al. | 06/27/2001 |
| | | US-20030097657 | 05/22/2003 | Zhou, Yiming. et al. | 09/14/2001 |
| | | US-20030103532 | 06/05/2003 | Betram, Michael C., et al. | 12/10/1999 |
| | | US-20030135553 | 07/17/2003 | Pendakur, Ramesh | 01/11/2002 |
| | | US-20030135857 | 07/17/2003 | Pendakur, Ramesh et al. | 01/11/2002 |
| | | US-20030149988 | 08/07/2003 | Ellis, Michael et al. | 02/06/2003 |

EXAMINER**DATE CONSIDERED**

| | | | | | |
|------------------------------------------------------|---|----|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| Substitute for form 1449A/PTO | | | | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | <i>Complete if Known</i> Application Number 10/043,918 Filing Date January 11, 2002 First Named Inventor Pendakur, Ramesh Art Unit 2143 Examiner Name Pwu, Jeffrey | |
| (Use as many sheets as necessary) | | | | | |
| Sheet | 4 | of | 14 | Attorney Docket No: 42P11552 | |

| US PATENT DOCUMENTS | | | | | |
|---------------------|---------|---------------------|------------------|-------------------------------------------------|----------------------------|
| Examiner Initial * | Cite No | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Filing Date If Appropriate |
| | | US-2004/0163014 | 08/19/2004 | Correa, Colt R. | 10/29/2003 |
| | | US-20040003403 | 01/01/2004 | Marsh, David J. | 01/19/2002 |
| | | US-20040117831 | 06/17/2004 | Ellis, Michael et al. | 06/06/2003 |
| | | US-20040123323 | 06/24/2004 | Russo, James | 12/10/2003 |
| | | US-20040194146 | 09/30/2004 | Bates, Cary L., et al. | 04/02/2004 |
| | | US-20040221307 | 11/04/2004 | Arai, Yuko et al. | 11/04/2004 |
| | | US-2005/0149964 | 07/07/2005 | Thomas, William L., et al. | 09/29/2004 |
| | | US-2005/0273832 | 12/08/2005 | Zigmond, Daniel J., et al. | 08/05/2005 |
| | | US-20050027382 | 12/08/2005 | Zigmond, Daniel et al. | 08/05/2005 |
| | | US-20050028208 | 02/03/2005 | Ellis, Michael D., et al. | 08/26/2004 |
| | | US-20050155063 | 07/14/2005 | Bayrakeri, Sadik et al. | 02/16/2005 |
| | | US-20050193414 | 09/01/2005 | Horvitz, Eric et al. | 05/03/2005 |
| | | US-20050204387 | 09/15/2005 | Knudson, Edward et al. | 08/26/2004 |
| | | US-4115855 | 09/19/1978 | Chiba, Takashi | |
| | | US-4546382 | 10/08/1985 | McKenna, William J., et al. | 12/08/1983 |
| | | US-4566030 | 01/21/1986 | Nickerson, Rand B., et al. | 06/09/1983 |
| | | US-4624578 | 11/25/1986 | Green, David L. | 12/02/1985 |
| | | US-4646145 | 02/24/1997 | Percy et al. | 04/07/1980 |
| | | US-4695879 | 09/22/1987 | Weinblatt | 02/07/1986 |
| | | US-5075771 | 12/24/1991 | Hashimoto, Kazuo | 05/07/1990 |
| | | US-5175836 | 12/29/1992 | ELMORE, REBA | 12/04/1990 |
| | | US-5227874 | 07/13/1993 | Von Kohorn, Henry | 10/15/1991 |
| | | US-5303234 | 04/12/1994 | Kou, Yukari | 07/15/1992 |

EXAMINER

DATE CONSIDERED

| | | | |
|--------------------------------------------------------------------------------------------------|---|------------------|----|
| Substitute for form 1449A/PTO | | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | | |
| <i>Complete if Known</i> | | | |
| Application Number | | 10/043,918 | |
| Filing Date | | January 11, 2002 | |
| First Named Inventor | | Pendakur, Ramesh | |
| Art Unit | | 2143 | |
| Examiner Name | | Pwu, Jeffrey | |
| Sheet | 5 | of | 14 |
| Attorney Docket No: 42P11552 | | | |

| US PATENT DOCUMENTS | | | | | |
|---------------------|---------|---------------------|------------------|-------------------------------------------------|----------------------------|
| Examiner Initial * | Cite No | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Filing Date If Appropriate |
| | | US-5357276 | 10/18/1994 | Banker, Robert O., et al. | 12/01/1992 |
| | | US-5388211 | 12/07/1995 | Hornbuckle, Gary D. | 04/20/1993 |
| | | US-5392223 | 02/21/1995 | Caci, Joseph C. | 07/29/1992 |
| | | US-5408465 | 04/18/1995 | Gusello, Riccardo et al. | 06/21/1993 |
| | | US-5410272 | 04/25/1995 | Haberland, Bernd et al. | 04/22/1994 |
| | | US-5410344 | 04/25/1995 | Graves, Gordon T., et al. | 09/22/1993 |
| | | US-5426513 | 06/20/1995 | Scorse, Jim et al. | 06/01/1990 |
| | | US-5457808 | 10/10/1995 | Osawa, Tomoki et al. | 02/03/1993 |
| | | US-5483278 | 01/09/1996 | Strubbe, Hugo J., et al. | 09/28/1993 |
| | | US-5483529 | 01/09/1996 | Baggen, Constant et al. | 02/03/1994 |
| | | US-5517254 | 05/14/1996 | Monta, Hiroki et al. | 08/04/1999 |
| | | US-5530082 | 07/25/1996 | Friebe, Robert et al. | 08/31/1994 |
| | | US-5534911 | 07/09/1996 | Levitin, Gutman | 11/02/1994 |
| | | US-5541638 | 07/30/1996 | Story, Guy | 06/28/1994 |
| | | US-5544161 | 08/06/1996 | Bigham, John A., et al. | 03/28/1995 |
| | | US-5550928 | 08/27/1996 | Lu, Daozheng et al. | 12/15/1992 |
| | | US-5559549 | 09/24/1996 | Hendricks, John S., et al. | 12/02/1993 |
| | | US-5563895 | 10/08/1996 | Malkamaki, Esa et al. | 10/29/1993 |
| | | US-5566174 | 10/15/1996 | Sato, Takashi et al. | 09/07/1994 |
| | | US-5568181 | 10/22/1996 | Greenwood, David G., et al. | 05/01/1995 |
| | | US-5574509 | 11/12/1996 | Citta, Richard W. | 09/08/1994 |
| | | US-5583561 | 12/10/1996 | Baker, Donn B., et al. | 06/07/1994 |
| | | US-5583576 | 12/10/1996 | Perlman, William et al. | 09/11/1995 |
| | | US-5585838 | 12/17/1996 | Lawler, Frank A., et al. | 05/05/1995 |
| | | US-5594490 | 01/14/1997 | Dawson, William P., et al. | 05/23/1994 |
| | | US-5600573 | 02/04/1987 | Hendricks, John S., et al. | 12/02/1994 |
| | | US-5600606 | 02/04/1997 | PHAN, TRONG Q. | 03/07/1996 |
| | | US-5619247 | 04/08/1997 | Russo, James | 02/24/1995 |
| | | US-5619249 | 04/08/1997 | Billock, John K., et al. | 09/14/1994 |
| | | US-5648824 | 07/15/1997 | Dunn, Matthew W., et al. | 02/06/1996 |
| | | US-5652870 | 07/29/1997 | FIUL, DAN | 04/11/1995 |
| | | US-5654747 | 08/05/1997 | Ottesen et al. | 12/29/1994 |
| | | US-5664091 | 09/02/1997 | Keen, D. H. | 08/31/1995 |
| | | US-5666293 | 09/09/1997 | Metz, Erik C., et al. | 07/03/1995 |
| | | US-5680322 | 10/21/1997 | Shinoda, Mayumi | 05/26/1995 |

EXAMINER

DATE CONSIDERED

| Substitute for form 1449A/PTO | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--------------------------|------------------|--|--|--------------------------|--|--|--|--------------------|------------|--|--|-------------|------------------|--|--|----------------------|------------------|--|--|----------|------|--|--|---------------|--------------|--|--|--|--|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (Use as many sheets as necessary) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Sheet 6 of 14 Attorney Docket No: 42P11552 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2"></th> <th colspan="2" style="text-align: center;"><i>Complete if Known</i></th> </tr> <tr> <th colspan="2"></th> <th style="text-align: center;">Application Number</th> <th style="text-align: center;">10/043,918</th> </tr> <tr> <th colspan="2"></th> <th style="text-align: center;">Filing Date</th> <th style="text-align: center;">January 11, 2002</th> </tr> <tr> <th colspan="2"></th> <th style="text-align: center;">First Named Inventor</th> <th style="text-align: center;">Pendakur, Ramesh</th> </tr> <tr> <th colspan="2"></th> <th style="text-align: center;">Art Unit</th> <th style="text-align: center;">2143</th> </tr> <tr> <th colspan="2"></th> <th style="text-align: center;">Examiner Name</th> <th style="text-align: center;">Pwu, Jeffrey</th> </tr> </thead> <tbody> <tr> <td colspan="2"></td> <td colspan="2"></td> </tr> </tbody> </table> | | | | | | <i>Complete if Known</i> | | | | Application Number | 10/043,918 | | | Filing Date | January 11, 2002 | | | First Named Inventor | Pendakur, Ramesh | | | Art Unit | 2143 | | | Examiner Name | Pwu, Jeffrey | | | | |
| | | <i>Complete if Known</i> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | Application Number | 10/043,918 | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | Filing Date | January 11, 2002 | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | First Named Inventor | Pendakur, Ramesh | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | Art Unit | 2143 | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | Examiner Name | Pwu, Jeffrey | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

| US PATENT DOCUMENTS | | | | | |
|---------------------|---------|---------------------|------------------|-------------------------------------------------|----------------------------|
| Examiner Initial * | Cite No | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Filing Date If Appropriate |
| | | US-5689439 | 11/18/1997 | Weerackody, Vijitha et al. | 03/31/1995 |
| | | US-5701599 | 12/23/1997 | Aihara, Fumikazu | 07/23/1992 |
| | | US-5717923 | 02/10/1998 | Dedrick, Rick | 11/03/1994 |
| | | US-5724345 | 03/03/1998 | Guarneri, Paul Joseph et al. | 12/13/1995 |
| | | US-5724543 | 03/03/1998 | Ozden, Banu et al. | 06/19/1995 |
| | | US-5727002 | 03/10/1998 | Miller, C. Kenneth et al. | 01/16/1996 |
| | | US-5732282 | 03/24/1998 | Provino, Joseph E., et al. | 06/30/1995 |
| | | US-5734890 | 03/31/1998 | Case, Richard H., et al. | 08/22/1995 |
| | | US-5740549 | 04/14/1998 | Reilly, James P., et al. | 06/12/1995 |
| | | US-5751282 | 05/12/1998 | Girard, Michel et al. | 06/13/1995 |
| | | US-5752160 | 05/12/1998 | Dunn, Matthew W. | 05/05/1995 |
| | | US-5754938 | 05/19/1998 | Herz, Frederick S., et al. | 10/31/1995 |
| | | US-5758257 | 05/26/1998 | Herz, Frederick et al. | 11/29/1994 |
| | | US-5758259 | 05/26/1998 | Lawler, Frank A. | 03/11/1997 |
| | | US-5768681 | 06/16/1998 | Dan, Asit et al. | 08/22/1995 |
| | | US-5778182 | 07/07/1998 | Cathey, Deborah Ann et al. | 11/07/1995 |
| | | US-5790935 | 08/04/1998 | Payton, David W. | 01/30/1996 |
| | | US-5801747 | 09/01/1998 | Bedard, Karen | 11/15/1996 |
| | | US-5801753 | 09/01/1998 | Eyer, Mark K., et al. | 08/11/1995 |
| | | US-5815662 | 09/29/1998 | Ong, Lance | 08/15/1996 |
| | | US-5838668 | 11/17/1998 | Okada, Kazuhiro et al. | 03/26/1996 |
| | | US-5838678 | 11/17/1998 | Davis, Joseph W., et al. | 07/24/1996 |
| | | US-5842199 | 11/24/1998 | Miller, Bradley N., et al. | 10/18/1996 |
| | | US-5848396 | 12/08/1998 | Gerace, Thomas A. | 04/26/1996 |
| | | US-5867226 | 02/02/1999 | Wehmeyer et al. | 12/15/1995 |
| | | US-5867799 | 02/02/1999 | Lang, Andrew K., et al. | 04/04/1996 |
| | | US-5897608 | 04/27/1999 | Yokoyama et al. | 05/27/1997 |
| | | US-5903314 | 05/11/1999 | Niijima, Makoto et al. | 01/24/1996 |
| | | US-5905713 | 05/18/1999 | Anderson, Paul R., et al. | 04/15/1996 |
| | | US-5918002 | 06/29/1999 | Klemets, Anders E., et al. | 03/14/1997 |
| | | US-5920642 | 07/06/1999 | Merjanian | 04/28/1997 |
| | | US-5920700 | 07/06/1999 | Gordon, Yvette et al. | 09/06/1996 |
| | | US-5928330 | 06/29/1999 | Goetz, Tom et al. | 09/06/1996 |
| | | US-5930493 | 07/27/1999 | Ottesen, Hal Hjalmar et al. | 06/07/1995 |
| | | US-5937411 | 08/10/1999 | Becker, Craig Henry | 11/21/1997 |

EXAMINER

DATE CONSIDERED

| | | | | | |
|------------------------------------------------------|---|----|----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| Substitute for form 1449A/PTO | | | | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | <i>Complete if Known</i> Application Number 10/043,918 Filing Date January 11, 2002 First Named Inventor Pendakur, Ramesh Art Unit 2143 Examiner Name Pwu, Jeffrey | |
| (Use as many sheets as necessary) | | | | | |
| Sheet | 7 | of | 14 | Attorney Docket No: 42P11552 | |

| US PATENT DOCUMENTS | | | | | |
|---------------------|---------|---------------------|------------------|-------------------------------------------------|----------------------------|
| Examiner Initial * | Cite No | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Filing Date If Appropriate |
| | | US-5940073 | 08/17/1999 | Klosterman, Brian L., et al. | 04/30/1997 |
| | | US-5940738 | 08/17/1999 | Rao, R. Padmanabha | 12/05/1995 |
| | | US-5963551 | 10/05/1999 | Minko, Jacek | 11/18/1996 |
| | | US-5973683 | 10/26/1999 | Cragun, Brian John et al. | 11/24/1997 |
| | | US-5974398 | 10/26/1999 | Hanson, Bruce L., et al. | 04/11/1997 |
| | | US-5978381 | 11/02/1999 | Perlman, Stephen G., et al. | 06/06/1997 |
| | | US-5983214 | 11/09/1999 | Lang, Andrew K., et al. | 11/05/1998 |
| | | US-5991735 | 11/23/1999 | Gerace, Thomas A. | 08/11/1998 |
| | | US-5999526 | 12/07/1999 | Garland, Stuart Mandel et al. | 11/26/1996 |
| | | US-5999908 | 12/07/1999 | Abelow, Daniel H. | 09/19/1997 |
| | | US-6002852 | 12/14/1999 | Birdwell, Kenneth J., et al. | 06/30/1998 |
| | | US-6005597 | 12/21/1999 | Barrett, David S., et al. | 10/27/1997 |
| | | US-6006247 | 12/21/1999 | Browning, Luke Matthew et al. | 02/27/1997 |
| | | US-6012051 | 01/04/2000 | Sammon Jr., Thomas M. | 02/06/1997 |
| | | US-6018359 | 01/25/2000 | Kermode, Roger G., et al. | 04/24/1998 |
| | | US-6018768 | 01/25/2000 | Ullman, Craig et al. | 07/06/1998 |
| | | US-6021433 | 02/01/2000 | Payne, John M., et al. | 01/24/1997 |
| | | US-6025868 | 02/15/2000 | Russo, James | 04/07/1997 |
| | | US-6028685 | 02/22/2000 | Caridi, Elisa et al. | 09/25/1997 |
| | | US-6029045 | 02/22/2000 | Picco, Martin R., et al. | 12/09/1997 |
| | | US-6055560 | 04/25/2000 | Mills, William Allen et al. | 11/08/1996 |
| | | US-6057872 | 05/02/2000 | Candelore, Brant | 07/09/1997 |
| | | US-6067564 | 05/23/2000 | Urakoshi, Akira et al. | 10/28/1996 |
| | | US-6075971 | 06/13/2000 | Williams, Christopher D., et al. | 03/27/1998 |
| | | US-6085252 | 07/04/2000 | Zhu, Qin-Fan et al. | 12/12/1997 |
| | | US-6085253 | 11/18/1997 | Blackwell, Bruce A., et al. | 08/01/1997 |
| | | US-6088722 | 07/11/2000 | Herz, Frederick et al. | 12/24/1997 |
| | | US-6108645 | 08/22/2000 | Eichstaedt, Matthias et al. | 11/26/1997 |
| | | US-6118492 | 09/12/2000 | Milnes, Kenneth A. | 06/27/1997 |
| | | US-6125259 | 09/26/2000 | Perlman, William | 05/07/1996 |
| | | US-6141785 | 10/31/2000 | Hur, Chung H., et al. | 09/02/1998 |
| | | US-6148005 | 11/14/2000 | Paul, Sanjoy et al. | 10/09/1997 |
| | | US-6160989 | 12/12/2000 | Hendricks, John S., et al. | 10/23/1996 |
| | | US-6177931 | 01/23/2007 | Alexander, Ronald et al. | 07/21/1998 |
| | | US-6185360 | 02/06/2001 | Inoue, Hideki et al. | 10/28/1997 |

EXAMINER

DATE CONSIDERED

| | | | | |
|-----------------------------------------------|---|----|----|----------------------------------------------|
| Substitute for form 1449A/PTO | | | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | Complete if Known |
| | | | | Application Number 10/043,918 |
| | | | | Filing Date January 11, 2002 |
| | | | | First Named Inventor Pendakur, Ramesh |
| | | | | Art Unit 2143 |
| | | | | Examiner Name Pwu, Jeffrey |
| (Use as many sheets as necessary) | | | | |
| Sheet | 8 | of | 14 | Attorney Docket No: 42P11552 |

| US PATENT DOCUMENTS | | | | | |
|---------------------|---------|---------------------|------------------|-------------------------------------------------|----------------------------|
| Examiner Initial * | Cite No | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Filing Date If Appropriate |
| | | US-6215776 | 04/10/2001 | Chao, William W. | 10/08/1997 |
| | | US-6226618 | 05/01/2001 | Downs, Edgar | 08/13/1998 |
| | | US-6233283 | 05/15/2001 | Chiu, Yi-Jen et al. | 06/23/1998 |
| | | US-6236395 | 05/22/2001 | Sezan, M. I., et al. | 04/26/1999 |
| | | US-6240553 | 05/29/2001 | Son, Yong H., et al. | 12/10/1999 |
| | | US-6271893 | 08/07/2001 | Kawaguchi, Toru et al. | 12/28/1998 |
| | | US-6279040 | 08/21/2001 | Ma, Gin-Kou et al. | 08/21/2001 |
| | | US-6289012 | 09/11/2001 | Harrington, Robert J., et al. | 08/03/1998 |
| | | US-6289510 | 09/11/2001 | Nakajima, Ryotsu | 09/02/1998 |
| | | US-6298482 | 10/02/2001 | Seidman, David Israel et al. | 11/12/1997 |
| | | US-6304578 | 10/16/2001 | Fluss, Harold Shrake | 05/01/1998 |
| | | US-6317881 | 11/13/2001 | Shah-Nazaroff, Anthony A., et al. | 11/13/2001 |
| | | US-6320850 | 11/20/2001 | Perahia, Eldad et al. | 04/24/1998 |
| | | US-6324182 | 11/27/2001 | Burns, Gregory et al. | 03/11/1999 |
| | | US-6324338 | 11/27/2001 | Wood, Anthony et al. | 08/17/1998 |
| | | US-6349321 | 02/19/2002 | Katayama, Isao | 04/29/1998 |
| | | US-6357028 | 03/12/2002 | Zhu, Qin-Fan | 03/19/1999 |
| | | US-6357042 | 03/12/2002 | Srinivasan, Anand et al. | 01/22/1999 |
| | | US-6359557 | 03/19/2002 | Bilder, Mitchell K. | 01/26/1998 |
| | | US-6359571 | 03/19/2002 | Endo, Yoshinori et al. | 10/13/1999 |
| | | US-6374405 | 04/16/2002 | Willard, Pierre | 02/17/1999 |
| | | US-6378036 | 04/23/2002 | Lerman, Jesse S., et al. | 03/12/1999 |
| | | US-6389593 | 05/14/2002 | Yamagishi, Yasuaki | 12/11/1996 |
| | | US-6397387 | 05/28/2002 | Rosin, Robert et al. | 06/02/1997 |
| | | US-6424625 | 07/23/2002 | Larsson, Peter et al. | 10/28/1998 |
| | | US-6434747 | 08/13/2002 | Khoo, Denis et al. | 01/19/2000 |
| | | US-6438585 | 08/20/2002 | Mousseau, Gary P., et al. | 01/16/2001 |
| | | US-6449632 | 09/10/2002 | David, Esther et al. | 04/01/1999 |
| | | US-6449654 | 09/10/2002 | Blackwell, Bruce A., et al. | 06/28/1999 |
| | | US-6457010 | 09/24/2002 | Eldering, Charles A., et al. | 12/03/1998 |
| | | US-6459427 | 10/01/2002 | Mao, Weidong et al. | 04/01/1998 |
| | | US-6460036 | 10/01/2002 | Herz, Frederick S., et al. | 12/05/1997 |
| | | US-6463585 | 10/08/2002 | Hendricks, John S., et al. | 04/03/1998 |
| | | US-6467089 | 10/15/2002 | Aust, Edgar W., et al. | 12/23/1997 |
| | | US-6477704 | 11/05/2002 | Cremia, Lawrence | 06/21/1999 |

EXAMINER

DATE CONSIDERED

| | | | |
|------------------------------------------------------|---|----|----|
| Substitute for form 1449A/PTO | | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | |
| (Use as many sheets as necessary) | | | |
| Sheet | 9 | of | 14 |
| Attorney Docket No: 42P11552 | | | |

US PATENT DOCUMENTS

| Examiner Initial * | Cite No | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Filing Date If Appropriate |
|--------------------|---------|---------------------|------------------|-------------------------------------------------|----------------------------|
| | | US-6480783 | 11/12/2002 | Myr, David | 03/17/2000 |
| | | US-6481011 | 11/12/2002 | Lemmons, Thomas R. | 01/19/1999 |
| | | US-6490722 | 12/03/2002 | Barton, James M., et al. | 10/20/1999 |
| | | US-6513014 | 01/28/2003 | Walker, Jay S., et al. | 03/31/1999 |
| | | US-6513069 | 01/28/2003 | Abato, Michael R., et al. | 09/15/1999 |
| | | US-6515964 | 02/02/2003 | Cheung, Hay Y., et al. | 04/30/1999 |
| | | US-6516192 | 02/04/2003 | Spaur, Charles et al. | 06/05/2000 |
| | | US-6526455 | 02/25/2003 | Kamimura, Kunio | 02/04/1997 |
| | | US-6526575 | 02/25/2003 | McCoy, Robert H., et al. | 01/07/1997 |
| | | US-6529526 | 03/04/2003 | Schneidewend, Daniel Richard | 11/12/1998 |
| | | US-6530082 | 03/04/2003 | Del Sesto, Eric | 04/30/1998 |
| | | US-6557042 | 04/29/2003 | He, Li-Wei et al. | 03/19/1999 |
| | | US-6563515 | 05/13/2003 | Reynolds et al. | 03/04/1999 |
| | | US-6564381 | 05/13/2003 | Hodge, Winston et al. | 09/25/1998 |
| | | US-6570843 | 05/27/2003 | Wolfgang, H. Lewis | 05/19/1999 |
| | | US-6571389 | 05/27/2003 | Spyker, Andrew W., et al. | 04/27/1999 |
| | | US-6574518 | 06/03/2003 | Lounsberry, Brian D., et al. | 11/29/1999 |
| | | US-6577599 | 06/10/2003 | Gupta, Amit et al. | 06/30/1999 |
| | | US-6578199 | 06/10/2003 | Tsou, I-Wen et al. | 11/12/1999 |
| | | US-6580452 | 06/17/2003 | Gangitano, Greg | 03/05/1997 |
| | | US-6587985 | 07/01/2003 | Fukushima, Hideaki et al. | 11/30/1999 |
| | | US-6594682 | 07/15/2003 | Peterson, Leonard J., et al. | 10/28/1997 |
| | | US-6601234 | 07/29/2003 | Bowman-Amuah, Michel K. | 08/31/1999 |
| | | US-6601237 | 07/29/2003 | Ten Kate, Warner R., et al. | 12/22/1999 |
| | | US-6611842 | 08/26/2003 | Brown, Daniel | 10/25/2000 |
| | | US-6614987 | 09/02/2003 | Ismail, Labeeb K., et al. | 06/12/1998 |
| | | US-6617980 | 09/09/2003 | Endo, Yoshinori et al. | 02/07/2002 |
| | | US-6625716 | 09/23/2003 | Fackenthal, Richard | 06/28/2001 |
| | | US-6637029 | 10/21/2003 | Maisel, Jonathan et al. | 06/30/1998 |
| | | US-6642862 | 11/04/2003 | Boudry, Jean-Marie | 12/05/2001 |
| | | US-6642939 | 11/04/2003 | Vallone, Robert et al. | 03/30/2000 |
| | | US-6643322 | 11/04/2003 | Varma, Subir et al. | 09/20/2000 |
| | | US-6662330 | 12/09/2003 | Hershey, Stephen | 04/07/2000 |
| | | US-6668246 | 12/23/2003 | Yeung, Minerva M., et al. | 03/24/1999 |
| | | US-6678890 | 01/13/2004 | Cai, Kaili | 03/08/2000 |

EXAMINER**DATE CONSIDERED**

| | | | | | |
|------------------------------------------------------|----|----|----|------------------------------|------------------|
| Substitute for form 1449A/PTO | | | | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | <i>Complete if Known</i> | |
| | | | | Application Number | 10/043,918 |
| | | | | Filing Date | January 11, 2002 |
| | | | | First Named Inventor | Pendakur, Ramesh |
| | | | | Art Unit | 2143 |
| | | | | Examiner Name | Pwu, Jeffrey |
| (Use as many sheets as necessary) | | | | | |
| Sheet | 10 | of | 14 | Attorney Docket No: 42P11552 | |

| US PATENT DOCUMENTS | | | | | |
|---------------------|---------|---------------------|------------------|-------------------------------------------------|----------------------------|
| Examiner Initial * | Cite No | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Filing Date If Appropriate |
| | | US-6681393 | 01/20/2004 | Bauminger, Ilan | 06/03/1998 |
| | | US-6700893 | 03/02/2004 | Radha, Hayder et al. | 11/15/1999 |
| | | US-6704929 | 03/09/2004 | Ozer, Stuart et al. | 08/18/1999 |
| | | US-6715004 | 03/30/2004 | Grimsrud et al. | 09/29/2000 |
| | | US-6718551 | 04/06/2004 | Swix, Scott R., et al. | 12/21/1999 |
| | | US-6718552 | 04/06/2004 | Goode, Christopher | 04/20/1999 |
| | | US-6721954 | 04/13/2004 | Nickum, Larry A. | 06/23/1999 |
| | | US-6751401 | 06/15/2004 | Arai, Yuko et al. | 12/03/1998 |
| | | US-6772209 | 08/03/2004 | Chernock, Richard S., et al. | 04/06/2000 |
| | | US-6782370 | 08/24/2004 | Stack, Charles | 09/04/1997 |
| | | US-6782476 | 08/24/2004 | Ishibashi, Yasuhiro | 06/16/1999 |
| | | US-6792412 | 09/14/2004 | Sullivan, Alan et al. | 02/02/1999 |
| | | US-6792470 | 09/14/2004 | Hakenberg, Rolf et al. | 03/02/2001 |
| | | US-6801936 | 10/05/2004 | Diwan, Arif | 04/07/2000 |
| | | US-6842461 | 01/11/2005 | Harris, John M. | 03/08/2002 |
| | | US-6850559 | 02/01/2005 | Driessen, Peter et al. | 06/28/2000 |
| | | US-6865746 | 03/08/2005 | Herrington et al. | 11/30/1999 |
| | | US-6883176 | 04/19/2005 | Grooters, Brandon A. | 12/15/1999 |
| | | US-6898762 | 05/24/2005 | Ellis et al. | 08/13/1999 |
| | | US-6898800 | 05/24/2005 | Son, Yong Ho et al. | 05/24/2005 |
| | | US-6923911 | 08/02/2005 | Beier, Scott B., et al. | 05/27/2003 |
| | | US-6928655 | 08/09/2005 | Omoigui, Nosakhare | 12/16/1999 |
| | | US-6931657 | 08/16/2005 | Marsh | 04/21/2000 |
| | | US-6934964 | 08/23/2006 | Schaffer et al. | 02/08/2000 |
| | | US-6947935 | 09/20/2005 | Horvitz, Eric J., et al. | 04/04/2001 |
| | | US-6950604 | 09/27/2005 | Kato, Motoki et al. | 09/22/2000 |
| | | US-6973662 | 12/06/2005 | Sie, John J., et al. | 10/12/2000 |
| | | US-6990635 | 01/24/2006 | Kurapati et al. | 01/24/2001 |
| | | US-6990676 | 01/24/2006 | Proehl et al. | 03/17/1999 |
| | | US-7007294 | 02/28/2006 | Kurapati, Kaushal | 10/30/2000 |
| | | US-7017189 | 03/21/2006 | DeMello et al. | 06/27/2000 |
| | | US-7020893 | 03/28/2006 | Connelly | 06/15/2001 |
| | | US-7024679 | 04/04/2006 | Sie, John J., et al. | 10/12/2000 |
| | | US-7024681 | 04/04/2006 | Fransman et al. | 12/03/1998 |
| | | US-7028071 | 04/11/2006 | Slik | 01/28/2002 |

EXAMINER

DATE CONSIDERED

| | | | |
|--------------------------------------------------------------------------------------------------|----|-----------------------------|------------------|
| Substitute for form 1449A/PTO | | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | | |
| <i>Complete if Known</i> | | | |
| | | Application Number | 10/043,918 |
| | | Filing Date | January 11, 2002 |
| | | First Named Inventor | Pendakur, Ramesh |
| | | Art Unit | 2143 |
| | | Examiner Name | Pwu, Jeffrey |
| Sheet | 11 | of | 14 |
| Attorney Docket No: 42P11552 | | | |

| US PATENT DOCUMENTS | | | | | |
|---------------------|---------|---------------------|------------------|-------------------------------------------------|----------------------------|
| Examiner Initial * | Cite No | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Filing Date If Appropriate |
| | | US-7028220 | 04/11/2006 | Park, Jeong M. | 09/04/2002 |
| | | US-7035871 | 09/25/2006 | Hunt, Preston J., et al. | 12/19/2000 |
| | | US-7047456 | 05/16/2006 | Jutzi, Curtis E. | 08/28/2001 |
| | | US-7051352 | 05/23/2006 | Schaffer, J. D. | 02/04/2000 |
| | | US-7055165 | 05/30/2006 | Connelly, Jay H. | 06/15/2001 |
| | | US-7055168 | 05/30/2006 | Errico, James et al. | 09/22/2000 |
| | | US-7058027 | 06/06/2006 | Alessi, George et al. | 05/20/2001 |
| | | US-7065709 | 06/20/2006 | Ellis, Michael D., et al. | 05/21/2000 |
| | | US-7072932 | 07/04/2006 | Stahl, David | 08/26/1999 |
| | | US-7096486 | 08/22/2002 | Ukai, Hiromi et al. | 06/22/1999 |
| | | US-7107271 | 09/12/2006 | Aoki et al. | 07/19/2001 |
| | | US-7114170 | 09/26/2006 | Harris et al. | 02/07/2002 |
| | | US-7167895 | 01/23/2007 | Connelly, Jay H. | 03/22/2000 |

| FOREIGN PATENT DOCUMENTS | | | | | |
|--------------------------|---------|---------------------|------------------|-------------------------------------------------|----------------|
| Examiner Initials* | Cite No | Foreign Document No | Publication Date | Name of Patentee or Applicant of cited Document | T ² |
| | | EP-EP0064168 | 11/10/1982 | Zwirn, Robert , et al. | |
| | | EP-EP0902569 | 03/17/1999 | Shur, David H., et al. | |
| | | EP-EP0905631 | 03/31/1999 | Melvin, Bruce , et al. | |
| | | EP-EP1028551 | 08/16/2000 | White, K. G., et al. | |
| | | EP-EP1043892 | 10/11/2000 | Kato, Motoki | |
| | | EP-EP1089571 | 04/04/2001 | Kato, Motoki | |
| | | EP-EP1089572 | 04/04/2001 | Yamada, Makoto , et al. | |
| | | JP-JP2001101190 | 04/13/2001 | Yoshihisa, Gonno , et al. | |
| | | JP-JP2001290727 | 10/19/2001 | Noriko, Ito | |
| | | JP-JP2003032710 | 01/31/2003 | Masatsugo, Akiho , et al. | |
| | | WO-WO0040028 | 07/06/2000 | Lang, Jack A., et al. | |
| | | WO-WO0059204 | 10/05/2000 | Bertam, Michael C., et al. | |
| | | WO-WO0064165 | 10/26/2000 | Eldering, Charles A., et al. | |

EXAMINER

DATE CONSIDERED

| | | | |
|--------------------------------------------------------------------------------------------------|----|-----------------------------|------------------|
| Substitute for form 1449A/PTO | | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | | |
| <i>Complete if Known</i> | | | |
| | | Application Number | 10/043,918 |
| | | Filing Date | January 11, 2002 |
| | | First Named Inventor | Pendakur, Ramesh |
| | | Art Unit | 2143 |
| | | Examiner Name | Pwu, Jeffrey |
| Sheet | 12 | of | 14 |
| Attorney Docket No: 42P11552 | | | |

| FOREIGN PATENT DOCUMENTS | | | | | |
|--------------------------|---------|---------------------|------------------|-------------------------------------------------|----------------|
| Examiner Initials* | Cite No | Foreign Document No | Publication Date | Name of Patentee or Applicant of cited Document | T ² |
| | | WO-WO0064168 | 10/26/2000 | Kaufman, Christopher | |
| | | WO-WO0115451 | 03/01/2001 | Wu, Bo , et al. | |
| | | WO-WO0117195 | 03/08/2001 | Bowman-Amuah, Michel K. | |
| | | WO-WO0137123 | 05/25/2001 | Jeffrey, Mark | |
| | | WO-WO015449 | 03/01/2001 | Vamparys, Franck | |
| | | WO-WO0172042 | 09/27/2001 | Connelly, Jay H. | |
| | | WO-WO02103940 | 12/27/2002 | Connelly, Jay | |
| | | WO-WO02103941 | 12/27/2002 | Connelly, Jay | |
| | | WO-WO02104030 | 12/27/2002 | Connelly, Jay | |
| | | WO-WO02104031 | 12/27/2002 | Connelly, Jay | |
| | | WO-WO0248863 | 06/20/2002 | Hallford, Jason | |
| | | WO-WO03028381 | 04/03/2003 | Jutzi, Curtis | |
| | | WO-WO03030540 | 04/10/2003 | Jutzi, Curtis , et al. | |
| | | WO-WO03061245 | 07/24/2003 | Pendakur, Ramesh | |
| | | WO-WO9102311 | 02/21/1999 | Moore, Charles , et al. | |

| OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS | | | |
|----------------------------------------------------|----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
| Examiner Initials* | Cite No ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | | U.S. Office Action, Application No.: 09/823,566, Attorney Docket No.: 42P10859.,(10/23/2006),1-8 | |

EXAMINER

DATE CONSIDERED

| | | | |
|--------------------------------------------------------------------------------------------------|----|-----------------------------|------------------|
| Substitute for form 1449A/PTO | | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | | |
| <i>Complete if Known</i> | | | |
| | | Application Number | 10/043,918 |
| | | Filing Date | January 11, 2002 |
| | | First Named Inventor | Pendakur, Ramesh |
| | | Art Unit | 2143 |
| | | Examiner Name | Pwu, Jeffrey |
| Sheet | 13 | of | 14 |
| Attorney Docket No: 42P11552 | | | |

| OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS | | | | |
|----------------------------------------------------|----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------------|
| Examiner Initials* | Cite No ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | T ² |
| | | "U.S. Office action, Application No.: 10/044,544, Attorney Docket No.: 42P11540,(10/18/06),1-26 | | |
| | | "U.S. Office Action, Application No.: 10/044,546, Attorney Docket No.: 42P11551,(11/15/06),1-23 | | |
| | | "Internet and Broadcast; the Key to Digital Convergence", <u>Intel DeveloperUPDATE Magazine</u> , (05/2000), Pgs. 1-3 | | |
| | | "PCT International Search Report", <u>Appl. No. PCT/US01/43862</u> , Mailed Dec. 4, 2003,1-4 | | |
| | | "PCT International Search Report", <u>Appl. No. PCT/US02/17270</u> , Mailed June 3, 2003,1-4 | | |
| | | "PCT International Search Report", <u>Appl. No. PCT/US02/17381</u> , Mailed October 20, 2003,1-6 | | |
| | | "PCT International Search Report", <u>Appl. No. PCT/US02/17316</u> , Mailed November 6, 2002,1-8 | | |
| | | "PCT International Search Report", <u>Appl. No. PCT/US02/29091</u> , Mailed April 3, 2003,1-5 | | |
| | | "PCT International Search Report", <u>Appl. No. PCT/US02/29099</u> , Mailed March 28, 2003,1-7 | | |
| | | "PCT International Search Report", <u>Appl. No. PCT/US03/00169</u> , Mailed June 2, 2003,1-7 | | |
| | | ANONYMOUS, ""Set-top box offer new possibilities for broadcaster"", <u>Proquest, Broadcast Engineering</u> , Overland Park. Vol. 41, Issue 7., (06/1999), Pgs. 1-2 | | |
| | | BLUNDON, WILLIAM , "When Push comes to Shove", <u>Blundons Corner</u> , (04/1997), pgs. 1-4 | | |
| | | BUSINESS WIRE, "MessageMedia Launches Support View", (11/18/1999),1-2 | | |
| | | DATTA, ANINDYA , et al., "Adaptive Broadcast Protocols to Support Power Conservant Retrieval By Mobile Users", <u>IEEE Explore</u> , (04.07.1997), Pgs. 1-12 | | |
| | | FOREMSKI, TOM , "New digital technology is putting more choice in the hands of traditional TV audiences whi will increasingly be able to decide their own programme schedules", <u>Financial Times</u> , (03/03/1999),pgs. 1-5 | | |
| | | FRY, ANDY , "Channelling digital choice", <u>Marketing, London</u> , (09/24/1998), Pgs. 1-5 | | |
| | | GUMMALLA, AJAY CHANDRA V., "An Access Protocol for a Wireless Home Network", <u>IEEE</u> , Wireless Communications and Networking Conference, 21 September 1999,(1999),1392-1396 | | |

EXAMINER

DATE CONSIDERED

| | | | | | |
|------------------------------------------------------|----|----|----|------------------------------|------------------|
| Substitute for form 1449A/PTO | | | | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | <i>Complete if Known</i> | |
| | | | | Application Number | 10/043,918 |
| | | | | Filing Date | January 11, 2002 |
| | | | | First Named Inventor | Pendakur, Ramesh |
| | | | | Art Unit | 2143 |
| | | | | Examiner Name | Pwu, Jeffrey |
| (Use as many sheets as necessary) | | | | | |
| Sheet | 14 | of | 14 | Attorney Docket No: 42P11552 | |

| OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS | | | | | |
|----------------------------------------------------|----------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|----------------|
| Examiner Initials* | Cite No ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | T ² |
| | | HARTWIG, STEPHAN , et al., "Broadcasting and Processing of Program Guides for Digital TV", <u>SMPTE Journal</u> , SMPTE, Inc., Vol. 106, No. 10, Scarsdale, NY, XP000668926,(10/1997),727-732 | | | |
| | | HAUBNER, DR. P. , et al., "Netzdienste fur Multimediale Anwendungen CSCW - MBone", <u>Teleseminar: Multimedia Systeme - Technologie and Gestaltung WS 95/96</u> , XP002248684,(1996),1-20 | | | |
| | | HOLFEDER, WIELAND , "Interactive Remote Recording and Playback of Multicast Videoconferences", XP002088645,(09/10/1997),450-463 | | | |
| | | HOLSENDOLPH, ERNEST , "The TV that works Like a Genie in a Box a Coputer called TIVO acts Like a Brainy VCR. Even Fetching programs that it thinks you might like to watch", <u>COX News Service, Greensboro News Record</u> , Greensboro, NC, (9/3/1999),Pgs. 1-4 | | | |
| | | HU, QINGLONG , et al., "Power Conservative Multi-Attribute Queries on Data Broadcast", <u>IEEE, Data Engineering, 16th International Conference</u> , San Diego, Calif.,(2000),157-177 | | | |
| | | HWANG, REN-HUNG , et al., "Scheduling Policies for an VOD System over CATV Networks", <u>IEEE, Department of Computer Science & Information Engineering</u> ,(1997),438-442 | | | |
| | | INT'L ORG. FOR STANDARDIZATION, "Information Technology - Generic Coding of Moving Pictures and Associated Audio: Systems, Recommendation H.222.0, ISO/IEC 13818-1", <u>Int'l Org. for Standardization, Coding of Moving Pictures and Associated Audio</u> , N0801, XP002033056,(11/13/1994),1-165 | | | |
| | | MORGENSTERN, STEVE , ""Digital Etc. the end of TV as we know it"", <u>Proquest, Rolling Stone</u> , New York, Issue 818, (08/05/1999),Pgs. 1-2 | | | |
| | | ROSENBERG, JERRY , "Dictionary of Computers, information processing & telecommunications", <u>2nd edition</u> , (1987),p.65 | | | |
| | | WITTIG, HARTMUT , et al., "Intelligent Media Agents in Interactive Television Systems", <u>IEEE, Proceedings of the Int'l Conf. on Multimedia Computing and Systems</u> , Los Angeles, CA XP000603484,(05/15/1995),182-189 | | | |
| | | WOLINSKY, HOWARD , "Hard Drive toward personalized TV // New computer devices search logs, then record", <u>Proquest, Chicago Sun - Times</u> , Chicago, Ill., (01.19.1999),Pgs. 1-3 | | | |
| | | WONG, TINA , "Multicast Push Service for Web Content", <u>CS294-6 Internet Service Project Report</u> , (08/26/2004),Pgs. 1-4 | | | |

EXAMINER

DATE CONSIDERED